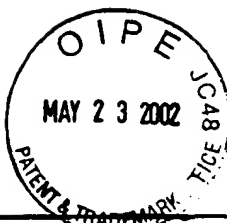


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6.3.2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. D2370	
In Re Application Of: Robert L. Howald et al.				
Serial No. 09/873,160		Filing Date 6/1/01	Examiner Unassigned	Group Art Unit 2819
Title: A SYSTEM FOR IMPROVED RETURN PATH PERFORMANCE FOR DIGITAL COMMUNICATION SIGNALS USING A SAMPLED RF WORD INTERFACE TO HEADEND DEMODULATORS				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. D2370
In Re Application: Robert L. Howard et al.			
Serial No. 09/873,160	Filing Date 6/1/01	Examiner Unassigned	Group Art Unit 2819
A SYSTEM FOR IMPROVED RETURN PATH PERFORMANCE FOR DIGITAL COMMUNICATION SIGNALS USING A SAMPLED RF WORD INTERFACE TO HEADEND DEMODULATORS			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) <input type="checkbox"/> A check in the amount of _____ is attached. <input type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed. <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional fee required.			
<b>Certificate of Transmission by Facsimile*</b> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)  (Date) _____  Signature _____  Typed or Printed Name of Person Signing Certificate _____		<b>Certificate of Mailing by First Class Mail</b> I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.  5/10/02  Signature of Person Mailing Correspondence _____ Marjorie Seartati  Typed or Printed Name of Person Mailing Certificate _____	
*This certificate may only be used if paying by deposit account. Karin L. Williams Signature		Dated: 5/9/02	
Karin L. Williams Reg. No. 36,721 Mayer Fortkort & Williams, PC 251 North Avenue West, 2nd Floor Westfield, NJ 07090  Tel.: 908-518-7700 Fax: 908-518-7795  cc.		RECEIVED MAY 24 2002 TECHNOLOGY CENTER 2800	

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

MAY 23 2002

PATENT & TRADEMARK OFFICE

Docket Number (Optional)

D2370

Application Number

09/873,160

Applicant(s)

Robert L. Howald et al.

Filing Date

6/1/01

Group Art Unit

2819

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	3,836,888	9/17/94	Boenke et al.	340	172.5	
	2.	5,930,231	7/27/99	Miller et al.	370	210	

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1.	WO 01/28098	4/19/2001	PCT	HO3L		✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Paul F. Gagen et al., "Hybrid Fiber-Coax Access Networks," <i>Bell Labs Technical Journal</i> , Vol. 1, no. 1, June 21, 1996, pp. 28-35.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.